

Wright State University RECORDS RETENTION SCHEDULE (RRS)

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Instructions: This form establishes a schedule of retention and disposal for the listed records series, based on procedures provided by Section 149.333 of the Ohio Revised Code. Offices are required to submit a [Certificate of Records Disposal \(CRD\)](#) to the University Records Manager prior to the disposal of records listed on their retention schedule, as per [Wright State Policy 12220](#). This schedule shall become effective on the last date approved. Obtain approvals and return to the University Records Manager, Special Collections and Archives, 401 Dunbar Library. For assistance, please call ext. 2017, or write to chris.wydman@wright.edu.

Originating Office/Department Code: Biomedical, Industrial & Human Factors
Engineering Department EGBI

Division: College of Engineering and Computer
Science

Approvals

Date:

Dean/Director/Head: _____

Department Chairperson/Manager: _____

University Records Manager: _____

Head, Special Collections and Archives: _____

¹**Medium code:** P=Paper, M=Microfilm/Fiche, E=Machine Readable

²**Value code:** C=Confidential, H=Historical, R=Restricted, V=Vital

³**Description:** A separate retention period is required for each medium in the same records series.

WSU Records Series Number	Medium Code ¹	Value Code ²	Records Series Title and Description ⁵	IUC Number	Retention Period
EGBI07-01	P/E		<u>Accreditation of Programs- External Review</u> Includes supporting documentation on accreditation review of departmental programs in the College of Engineering and Computer Science by the Accrediting Board for Engineering and Technology (ABET) and the Computer Science Accrediting Board (CSAB). <i>Note: Original ABET final reports held by Dean's Office while supporting documentation held by departments.</i>	ADM3020	Retain for the length of the review cycle (i.e., five years) after the completion of each review process. Then, review for continuing administrative value.
EGBI07-02	P/E	H	<u>Committee Files-Department</u> Files of departmental committees such as the departmental faculty committee and faculty development committee.	ADM9910	Retain in the office for three years and then transfer committee minutes/reports to the University Archives.

Note: Longer term storage may include, but not be restricted to, archives, an institutional records storage center, and conversion to digital formats and/or microfilm or other acceptable mass storage media (per Sec. 9.01 of the Ohio Revised Code).

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EGBI07-03	P	C	<p><u>Personnel Files-Faculty Activity Reports</u> Activity reports for the calendar year listing activities and accomplishments in teaching, research, and service.</p> <p><i>Note: Activity reports are not included in AAUP's article 13.</i></p>	PER3000	Retain in Dean's office for two years after the end of the last WSU assignment and then review for continuing administrative value. Transfer material of historic interest such as biographies and newspaper clippings to the University Archives.
EGBI07-04	P	C	<p><u>Personnel Files- Staff- Department Personnel Files-Department</u> Staff personnel files. Files can contain position descriptions, applications, letters of appointment or change, personnel data, notice of salary rate, annual contracts, performance evaluations, PERS forms, previous state service forms, reclassification notices, letters of commendation or reprimand, publications, evidence of continuing education, transfers, leave of absence requests, payroll forms, vacation and sick leave reports, resignations, termination notices. Review for continuing administrative value.</p> <p><i>Note: Long-term information is held by Human Resources Office.</i></p>	PER3000	Retain while active plus 6 years then destroy. If subject to legal action, retain until final disposition.

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EGBI11-05	P	C	<p>Personnel Files-Full-Time Faculty (including Lecturers, Clinical, Instructors, Visiting Appointments)</p> <p>This series include the following documents (article 13 of the Collective Bargaining Unit Agreement between WSU and WSU-AAUP):</p> <ol style="list-style-type: none"> The offer letter (applies to bargaining unit and non-bargaining unit faculty) A copy of the criteria signed by the Bargaining Unit Faculty Member and the Department Chair (attached to the letter) A signed copy of any changed criteria and/or procedures A signed copy of any written agreements about changes to the probationary period (untentured faculty) Annual evaluations by supervisor and any written rebuttals to the annual evaluation (applies to bargaining unit and non-bargaining unit faculty) Peer evaluations (from the Promotion and Tenure Committee), when available Annual statements summarizing cumulative progress toward tenure from the Department Chair and from the Department Promotion and Tenure Committee Annual and triennial statements summarizing cumulative progress toward promotion from the Department Promotion and Tenure Committee (tenured associate professors) Student evaluations of professors and their courses. (maintained by departments-see departmental records retention schedules) <p><i>Notes: i) Bargaining Unit faculty member files may contain all 9 items; Other files (i.e., administrators and non-Bargaining-Unit faculty members) will typically contain items 1, 5, and 9. ii) Long-term personnel information is maintained by the office of Human Resources.</i></p>	PER3000	<p>Retain 1-8 in the Dean's office for two years after the end of the last WSU assignment and then review for continuing administrative value. Retain 9 in department.</p> <p>9. Retain evaluation forms OR the transcribed comments and all quantitative reports received by the Department Chair for at least 7 years, after which they will be sent to the full-time faculty member to whom they pertain.</p>

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EGBI07-06	P/E	C	<u>Personnel Files-Employee Selection</u> Vitae, letters, records of individuals who have applied for faculty and staff positions in the department of Biomedical, Industrial & Human Factors Engineering.	PER2000	Retain for three years, then destroy.
EGBI07-07	P/E	H	<u>Policies, By-laws, and Procedures-Department</u>	ADM3000	Retain while active plus ten years. Transfer one paper copy of each version to the University Archives.
EGBI07-08	P/E	H	<u>Programs-Department</u> Includes administrative files of study programs developed by the department of Biomedical, Industrial & Human Factors Engineering.	ADM9910	Review periodically for continuing administrative value. Transfer historical records on development and administration of the programs to the Archives every three years.
EGBI07-09	P/E	H	<u>Publications-Department level</u> Includes publications such as newsletters and brochures on programs offered and events organized by the department of Biomedical, Industrial & Human Factors Engineering.	PUB6000	Retain in the office for three years and then transfer one copy of each issue to the University Archives.

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EGBI07-10	P/E	C	<p><u>Student Files-Non permanent</u></p> <p>A) Doctoral <i>Note: Program established in 1997 and administered by the College Dean and Associate Dean. The administration records of the program are maintained by the Dean's office.</i></p> <p>B) Graduate-Originals are held by the School of Graduate Studies.</p> <p>C) Undergraduate-Duplicate records are held by individual departments of the College of Engineering and Computer Science (active, inactive, and graduated, degree- and non-degree seeking).</p> <p><i>Note : a) Files may include duplicate applications for admission/readmission, letters of recommendation, entrance examinations and placement test reports, advanced placement records, transcripts, academic action notifications, applications for graduation, documents regarding progress toward degree, transfer credit evaluations. Also includes student placement and continuing education.</i></p> <p><i>b) Destruction of records should be performed in a manner that maintains confidentiality as per FERPA.</i></p>	EDU1010	Retain while active, plus five years and then destroy.
EGBI07-11	P/E	H	<p><u>Subject Files- Chairperson's</u></p> <p>Includes correspondence, reports, minutes, memoranda, policy and informational files as well as historical information on the development of the department of Biomedical, Industrial & Human Factors Engineering.</p>	ADM9910	Retain in the office for three years. Then, review for continuing administrative or historical value.*

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EGBI07-12	P/E	H	<u>Technical Reports-Faculty Papers</u> Research papers prepared by faculty members of the department of Biomedical, Industrial & Human Factors Engineering.	ADM9910	Where applicable, retain in the office for three years. Regularly transfer one copy of each report to the University Archives.
EGBI07-13	E	H	<u>Web home page-Departmental</u> Includes description of departmental programs and activities and events.	ADM9900	Retain electronic format on the web until obsolete or superseded. Retain a copy of each version if there is information of lasting value published on the website only and not available elsewhere.

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