

Wright State University RECORDS RETENTION SCHEDULE (RRS)

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| New | |
| Revision | X |
| Addition | |
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Instructions: This form establishes a schedule of retention and disposal for the listed records series, based on procedures provided by Section 149.333 of the Ohio Revised Code. Offices are required to submit a [Certificate of Records Disposal \(CRD\)](#) to the University Records Manager prior to the disposal of records listed on their retention schedule, as per [Wright State Policy 12220](#). This schedule shall become effective on the last date approved. Obtain approvals and return to the University Records Manager, Special Collections and Archives, 401 Dunbar Library. For assistance, please call ext. 2017, or write to chris.wydman@wright.edu.

Originating Office/Department Code: Psychology, Department of PSYC

Division: Science and Mathematics, College of

Approvals Date:

Dean/Director/Head: _____

Department Chairperson/Manager: _____

University Records Manager: _____

Head, Special Collections & Archives: _____

¹**Medium code:** P=Paper, M=Microfilm/Fiche, E=Machine Readable

²**Value code:** C=Confidential, H- Historical, R=Restricted, V=Vital

³**Description:** A separate retention period is required for each medium in the same records series.

| WSU Records Series Number | Medium Code ¹ | Value Code ² | Records Series Title and Description ³ | IUC Number | Retention Period |
|---------------------------|--------------------------|-------------------------|---|------------|--|
| PSYC99-01 | P/E | H | <u>Committee Files-Department</u> Files of departmental committees such as Promotion and Tenure and other ad hoc departmental committees. | ADM9910 | Retain in the office for three years. Then, transfer minutes to the University Archives. |
| PSYC04-02 | P | C | <u>Personnel Files-Faculty Activity Reports</u> Activity reports for the calendar year listing activities and accomplishments in teaching, research, and service. <i>Note: Activity reports are not included in AAUP's article 13.</i> | PER3000 | Retain in department for two years after the end of the last WSU assignment and then review for continuing administrative value. Transfer material of historic interest such as biographies and newspaper clippings to the University Archives. |

Note: Longer term storage may include, but not be restricted to, archives, an institutional records storage center, and conversion to digital formats and/or microfilm or other acceptable mass storage media (per Sec. 9.01 of the Ohio Revised Code).

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| PSYC04-03 | P | C | <p>Personnel Files-Faculty a) Full-time Faculty (including Lecturers, Clinical, Instructors, Visiting Appointments) This series include the following documents (article 13 of the Collective Bargaining Unit Agreement between WSU and WSU-AAUP):</p> <ol style="list-style-type: none"> The offer letter (applies to bargaining unit and non-bargaining unit faculty) A copy of the criteria signed by the Bargaining Unit Faculty Member and the Department Chair (attached to the letter) A signed copy of any changed criteria and/or procedures A signed copy of any written agreements about changes to the probationary period (untentured faculty) Annual evaluations by supervisor and any written rebuttals to the annual evaluation (applies to bargaining unit and non-bargaining unit faculty) Peer evaluations (from the Promotion and Tenure Committee), when available Annual statements summarizing cumulative progress toward tenure from the Department Chair and from the Department Promotion and Tenure Committee Annual and triennial statements summarizing cumulative progress toward promotion from the Department Promotion and Tenure Committee (tenured associate professors) Student evaluations of professors and their courses. (maintained by departments-see departmental records retention schedules) <p><i>Notes: i) Bargaining Unit faculty member files may contain all 9 items; Other files (i.e., administrators and non-Bargaining-Unit faculty members) will typically contain items 1, 5, and 9. ii) Long-term personnel information is maintained by the office of Human Resources</i></p> | PER3000 | <p>Retain 1-4 in the Dean's office for two years after the end of the last WSU assignment and then review for continuing administrative value. Retain 5-9 in department.</p> <p>9. Retain forms or the transcribed comments and all quantitative reports received by the Department Chair in the department for at least 7 years. When removing student evaluation forms, transcribed comments, or quantitative reports, the college or department will send them to the full-time faculty member to whom they pertain.</p> |

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| PSYC04-04 | P | C | <p><u>Personnel Files-Adjunct Professors</u></p> <p><i>Note: Long-term information is held by Human Resources Office.</i></p> | PER3000 | Retain summarized records in the department for two years and then review for continuing administrative value. |
| PSYC99-05 | P | C | <p><u>Personnel Files-Staff</u> <u>Personnel Files-Department</u> Staff personnel files. Files can contain position descriptions, applications, letters of appointment or change, personnel data, notice of salary rate, annual contracts, performance evaluations, PERS forms, previous state service forms, reclassification notices, letters of commendation or reprimand, publications, evidence of continuing education, transfers, leave of absence requests, payroll forms, vacation and sick leave reports, resignations, termination notices. Review for continuing administrative value.</p> <p>Note: Long-term information is held by Human Resources Office.</p> | PER3000 | Retain while active plus 6 years then destroy. If subject to legal action, retain until final disposition. |
| PSYC99-06 | P | C | <p><u>Personnel Files-Employee Search-Department</u> Vitae, letters, and related records of individuals who have applied for positions in the Department of Psychology.</p> | PER2000 | Retain for three years, then destroy. |

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| PSYC99-07 | P/E | H | <u>Policies, By-laws, and Procedures- Department</u> | ADM3000 | Retain while active plus ten years. Transfer one paper copy of each version to the University Archives. |
| PSYC99-08 | P/E | H | <u>Programs-Department</u> Includes administrative files of study programs such as: <ul style="list-style-type: none"> ➤ BS/BA Undergraduate ➤ Human Factors & Industrial/Organizational Psychology Graduate Program <ul style="list-style-type: none"> • Master's Degree (MS) • Doctoral (Ph.D.) | ADM9910 | Review periodically for continuing administrative value. Transfer historical records on development and administration of the programs to the Archives every three years. |
| PSYC99-09 | P | H | <u>Publications-Department</u> Publications such as brochures, departmental newsletters and other material produced by the department describing its programs and special events. | PUB3000 | Transfer one copy of each brochure (dated)/ newsletter to the Archives annually. |
| PSYC99-10 | P/E | H | <u>Report-Annual</u> <u>Examples include:</u> <ul style="list-style-type: none"> ➤ Assessment ➤ OBR Course Inventory ➤ Faculty Service Reports ➤ Space Inventory | ADM9910 | Retain in the office for three years, and then send one dated copy to the Archives. |

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| PSYC99-11 | P | C | <u>Student Files-Department-Non-Permanent</u> Records of those students taking courses in the department of Psychology. | EDU1010 | Retain while active, plus five years and then destroy. <i>Note: Destruction of records should be performed in a manner that maintains confidentiality as per FERPA.</i> |
| PSYC99-12 | P/E | H | <u>Subject Files- Chairperson's</u> Includes correspondence, reports, minutes, memoranda, policy and informational files as well as historical information on the development of the department. | ADM9910 | Retain in the office for three years. Then, review for continuing administrative or historical value. * |
| PSYC99-13 | E | H | <u>Web Page-Department</u> Includes description of academic programs and its activities/events in the Department of Psychology. <i>Note: The departmental web page was established in 1999.</i> | ADM9900 | Retain electronic format on the web until obsolete or superseded. Retain a copy of each version if there is information of lasting value published on the website only and not available elsewhere. |

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