Wright State University
RECORDS RETENTION SCHEDULE (RRS)

Instructions: This form establishes a schedule of retention and disposal for the listed records series, based on procedures provided by Section 149.333 of the Ohio Revised Code. Offices are required to submit a Certificate of Records Disposal (CRD) to the University Records Manager prior to the disposal of records listed on their retention schedule, as per Wright State Policy 12220. This schedule shall become effective on the last date approved. Obtain approvals and return to the University Records Manager, Special Collections and Archives, 401 Dunbar Library. For assistance, please call ext. 2017, or write to chris.wydman@wright.edu.

Originating Office/Department Code: Earth and Environmental Sciences
(Previously Geological Sciences)

Division: College of Science and Mathematics

Dean/Director/Head:

Department Chairperson/Manager:

University Records Manager:

Head, Special Collections & Archives:

1Medium code: P=Paper, M=Microfilm/Fiche, E=Machine Readable
2Value code: C=Confidential, H=Historical, R=Restricted, V=Vital
3Description: A separate retention period is required for each medium in the same records series.

<table>
<thead>
<tr>
<th>WSU Records Series Number</th>
<th>Medium Code¹</th>
<th>Value Code²</th>
<th>Records Series Title and Description³</th>
<th>IUC Number</th>
<th>Retention Period</th>
</tr>
</thead>
</table>
| GESC02-01                | P/E          | H           | **Committee Files**
Includes files of departmental committees such as Faculty Development Committee, Undergraduate Studies, and Graduate Studies. | ADM9910 | Retain in the office for three years and then transfer minutes and/or reports to the University Archives. |
| GESC07-02                | P            | C           | **Personnel Files-Faculty Activity Reports**
Activity reports for the calendar year listing activities and accomplishments in teaching, research, and service.

*Note: Activity reports are not included in AAUP’s article 13.* | PER3000 | Retain in department for two years after the end of the last WSU assignment and then review for continuing administrative value. Transfer material of historic interest such as biographies and newspaper clippings to the University Archives. |

Note: Longer term storage may include, but not be restricted to, archives, an institutional records storage center, and conversion to digital formats and/or microfilm or other acceptable mass storage media (per Sec. 9.01 of the Ohio Revised Code).
### Wright State University

#### RECORDS RETENTION SCHEDULE (RRS)

(continuation sheet)

<table>
<thead>
<tr>
<th>WSU Records Series Number</th>
<th>Medium Code</th>
<th>Value Code</th>
<th>Records Series Title and Description</th>
<th>IUC Number</th>
<th>Retention Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>GESC07-03</td>
<td>P</td>
<td>C</td>
<td>Personnel Files-Faculty</td>
<td>PER3000</td>
<td>Retain 1-4 in the Dean’s office for two years after the end of the last WSU assignment and then review for continuing administrative value. Retain 5-9 in department.</td>
</tr>
</tbody>
</table>

This series include the following documents (article 13 of the Collective Bargaining Unit Agreement between WSU and WSU-AAUP):

1. The offer letter (applies to bargaining unit and non-bargaining unit faculty)
2. A copy of the criteria signed by the Bargaining Unit Faculty Member and the Department Chair (attached to the letter)
3. A signed copy of any changed criteria and/or procedures
4. A signed copy of any written agreements about changes to the probationary period (untenured faculty)
5. Annual evaluations by supervisor and any written rebuttals to the annual evaluation (applies to bargaining unit and non-bargaining unit faculty)
6. Peer evaluations (from the Promotion and Tenure Committee), when available
7. Annual statements summarizing cumulative progress toward tenure from the Department Chair and from the Department Promotion and Tenure Committee
8. Annual and triennial statements summarizing cumulative progress toward promotion from the Department Promotion and Tenure Committee (tenured associate professors)
9. Student evaluations of professors and their courses. (maintained by departments-see departmental records retention schedules)

**Notes:**

1. Bargaining Unit faculty member files may contain all 9 items; Other files (i.e., administrators and non-Bargaining-Unit faculty members) will typically contain items 1, 5, and 9.
2. Long-term personnel information is maintained by the office of Human Resources.

---

**Note:** Longer term storage may include, but not be restricted to, archives, an institutional records storage center, and conversion to digital formats and/or microfilm or other acceptable mass storage media (per Sec. 9.01 of the Ohio Revised Code).

7/20/2017
### Wright State University

**RECORDS RETENTION SCHEDULE (RRS)**

(continuation sheet)

<table>
<thead>
<tr>
<th>WSU Records Series Number</th>
<th>Medium Code</th>
<th>Value Code</th>
<th>Records Series Title and Description</th>
<th>IUC Number</th>
<th>Retention Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>GESC07-04</td>
<td>P</td>
<td>C</td>
<td><strong>Personnel Files-Adjunct Professors</strong></td>
<td>PER3000</td>
<td>Retain summarized records in the department for two years and then review for continuing administrative value. Note: Long-term information is held by Human Resources Office.</td>
</tr>
<tr>
<td>GESC02-05</td>
<td>P</td>
<td>C</td>
<td><strong>Personnel Files-Staff</strong> Staff personnel files. Files can contain position descriptions, applications, letters of appointment or change, personnel data, notice of salary rate, annual contracts, performance evaluations, PERS forms, previous state service forms, reclassification notices, letters of commendation or reprimand, publications, evidence of continuing education, transfers, leave of absence requests, payroll forms, vacation and sick leave reports, resignations, termination notices. Review for continuing administrative value.</td>
<td>PER3000</td>
<td>Retain while active plus 6 years then destroy. If subject to legal action, retain until final disposition. Note: Long-term information is held by Human Resources Office.</td>
</tr>
<tr>
<td>GESC02-06</td>
<td>P</td>
<td>C</td>
<td><strong>Personnel Files-Employee Search-Department</strong> Vitae, letters, records of individuals who have applied for positions in the department of Earth and Environmental Sciences.</td>
<td>PER2000</td>
<td>Retain for three years, then destroy.</td>
</tr>
<tr>
<td>GESC02-07</td>
<td>P/E</td>
<td>H</td>
<td><strong>Policies, By-laws, and Procedures-Department</strong> Procedural records documenting department-approved methods and processes for performing department-related activities to ensure uniformity and compliance with institution and legal requirements.</td>
<td>ADM3000</td>
<td>Retain while active plus ten years. Transfer one paper copy of each version to the University Archives.</td>
</tr>
<tr>
<td>GESC02-08</td>
<td>P/E</td>
<td>H</td>
<td><strong>Programs-Department</strong> includes administrative files of study programs such as B.S., M.S., MST.</td>
<td>ADM9910</td>
<td>Review periodically for continuing administrative value. Transfer historical records on development and administration of the programs to the University Archives every three years.</td>
</tr>
</tbody>
</table>

**Note:** Longer term storage may include, but not be restricted to, archives, an institutional records storage center, and conversion to digital formats and/or microfilm or other acceptable mass storage media (per Sec. 9.01 of the Ohio Revised Code).
Wright State University  
RECORDS RETENTION SCHEDULE (RRS)  
(continuation sheet)  

<table>
<thead>
<tr>
<th>WSU Records Series Number</th>
<th>Medium Code 1</th>
<th>Value Code 2</th>
<th>Records Series Title and Description 3</th>
<th>IUC Number</th>
<th>Retention Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>GESC02-09</td>
<td>P</td>
<td>H</td>
<td>Publications-Department</td>
<td>PUB3000</td>
<td>Retain in the office for three years and then transfer one copy of each issue to the University Archives.</td>
</tr>
<tr>
<td>GESC02-10</td>
<td>P/E</td>
<td>H</td>
<td>Reports-Annual-Department</td>
<td>ADM9910</td>
<td>Retain in the office for three years and then send one dated copy to the University Archives.</td>
</tr>
</tbody>
</table>
| GESC02-11                 | P             | C            | Student Records-Non-Permanent-Department | EDU1010    | Retain while active, plus five years and then destroy.  
**Note:**  
Destruction of records should be performed in a manner that maintains confidentiality as per FERPA.

**Note:** Longer term storage may include, but not be restricted to, archives, an institutional records storage center, and conversion to digital formats and/or microfilm or other acceptable mass storage media (per Sec. 9.01 of the Ohio Revised Code).
### Wright State University
### RECORDS RETENTION SCHEDULE (RRS)
(continuation sheet)

Note: Longer term storage may include, but not be restricted to, archives, an institutional records storage center, and conversion to digital formats and/or microfilm or other acceptable mass storage media (per Sec. 9.01 of the Ohio Revised Code).

<table>
<thead>
<tr>
<th>WSU Records Series Number</th>
<th>Medium Code</th>
<th>Value Code</th>
<th>Records Series Title and Description</th>
<th>IUC Number</th>
<th>Retention Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>GESC02-12</td>
<td>P/E</td>
<td></td>
<td>Subject Files- Chairperson’s</td>
<td>ADM9910</td>
<td>Retain in the office for three years. Then, review for continuing administrative or historical value.*</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Includes correspondence, reports, minutes, memoranda, policy and informational files as well as historical information on the development of the department.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>GESC02-13</td>
<td>E</td>
<td>H</td>
<td>Web home page-Department</td>
<td>ADM9900</td>
<td>Retain electronic format on the web until obsolete or superseded. Retain a copy of each version if there is information of lasting value published on the website only and not available elsewhere.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Includes description of departmental programs, activities and events.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1Medium code: P=Paper, M=Microfilm/Fiche, E=Machine Readable
2Value code: C=Confidential, H=Historical, R=Restricted, V=Vital
3Description: A separate retention period is required for each medium in the same records series.